

CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY - INITIAL OPERATIONS WITHOUT TARIFF

Filing Requirements Checklist

(Applicable Authority: 807 KAR 5:001, Section 8 and KRS 278.160, 807 KAR 5:011)

Case No. _____ Utility Name _____ Received Date _____ Form Circulation Date _____

Instructions:

- 1) Each Division noted by checkmark () is to complete its review and pass on within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Docket Section following review by all Divisions.

Reviewed by Following Divisions:

| | <u>Staff Member</u> | <u>Date</u> |
|--------------------------|---------------------|-------------|
| _____ Filings Division | _____ | _____ |
| _____ Financial Analysis | _____ | _____ |
| _____ Engineering | _____ | _____ |
| _____ Legal | _____ | _____ |

| <u>Division Responsible</u> | <u>Law/Regulation</u> | <u>Filing Requirement</u> | <u>Requirement Met</u> | | <u>Waiver Requested</u> | <u>Approve Waiver?</u> | |
|-----------------------------|-----------------------|--|------------------------|-----------|-------------------------|------------------------|-----------|
| | | | <u>YES</u> | <u>NO</u> | | <u>YES</u> | <u>NO</u> |
| | <u>807 KAR 5:001:</u> | | | | | | |
| Filings Division | Section 8(1) | Full name and post office address of applicant and a reference to the particular provision of law requiring Commission approval. | ___ | ___ | ___ | ___ | ___ |
| Filings Division | Section 8(2) | The original and 10 copies of the application with an additional copy for any party named therein as an interested party. | ___ | ___ | ___ | ___ | ___ |
| Legal | Section 8(3) | If applicant is a corporation, a certified copy of the Articles of Incorporation and all amendments thereto <u>or</u> if the articles were filed with the PSC in a prior proceeding, a reference to the style and case number of the prior proceeding. | ___ | ___ | ___ | ___ | ___ |